



## **CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that this paper and fee are being deosited with the United States Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 19, 2004.

Catherine M. Robbins

NAME OF PERSON MAILING PAPER AND FEE

SIGNATURE OF PERSON MAILING PAPER AND FEE

## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : October 19, 2004

Chen, et al : IBM Corporation

Filing Date: 10/18/2004 : Dept.T81/Bldg. 503-3

Serial No. 10/711,981 : P.O. Box 12195

For: Algorithm for Minimizing Rebate Value Due to : Research Triangle Park,

SLA Breach in a Utility Computing Environment : North Carolina 27709

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 2313-1450

Sir:

Applicants submit herewith patents, publications or other information of which there may be a duty to disclose in accordance with 37 CF 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A. Bruce Clay

Attorney for Applicants Registration No. 32,121

PHONE: 919-254-6717 FAX: 919-254-4330

Email: bclay@us.ibm.com

IBM Docket No. RSW920040131US1



of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE

Serial No. 10/711/981\_

Applicant: Chen et al.

Filing Date:

Group: \_

Reference Designation		U. S. PATENT DOCUMENTS					
Examiner	Document					Filing Dat if	
Initial	Number	Date	Name	Class :	Subclass	Appropriat	
<u> </u>	- Ivaliabet		Ivanic		34201455	<u>pp1-0p11-00</u>	
AA	2004/0062205	04/2004	Friskney et al.	370	252		
AB	6,556,659	04/2003	Bowman-Amuah	379	9.04		
AC	2001/0047299	11/2001	Brewer et al.	705	14		
AD	6,195,697	02/2001	Bowman-Amuah	709	224		
•		FOREIGN PATENT DOCUMENTS					
Examiner	Document					Translatio	
	1	Data	Country	Class	Subclass	Yes N	
<u> Initial</u>	Number	Date	Country	Class	Dubciass	100	
	Number	08/2002	EPO	H04L	12/24		
AA		08/2002	EPO	H04L			
Date, Perti Examiner	1 229 685	08/2002	EPO	H04L	12/24		
Date, Perti Examiner	1 229 685	08/2002	EPO	H04L	12/24		
	nent Pages, E	08/2002	EPO	H04L	12/24		
Date, Perti Examiner Initial	nent Pages, E	08/2002	EPO	H04L	12/24		